

Performance-Based Research Fund – Conditions on Funding -2015

PBRF001: TEO to continue to meet eligibility criteria

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

During the period that the Tertiary Education Organisation (TEO) receives funding from the PBRF, the TEO must:

- a) be based in New Zealand; and
- b) grant bachelor's degrees, master's degrees, or doctoral degrees; and
- c) have an investment plan; and
- d) receive Student Achievement Component funding.

PBRF002: TEO to participate in all components of PBRF funding

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

- a) During the period that the TEO receives funding from the PBRF, the TEO must participate in each of the Quality Evaluation (QE), Research Degree Completions (RDC), and External Research Income (ERI) components of PBRF funding, even if the TEO's funding entitlement to one or more components is zero, or is likely to be zero.
- b) In order to receive funding from the PBRF through the Research Degree Completions component and the External Research Income component, the TEO must have participated in the latest Quality Evaluation.

PBRF003: TEO to provide Evidence Portfolios to the TEC

This condition is imposed under section 159YC(2)(b) of the Education Act 1989.

During the period that the TEO receives funding from the PBRF, the TEO must provide an Evidence Portfolio for each staff member at the TEO that is eligible for evaluation in the Quality Evaluation, that:

- a) sets out information on each eligible staff member's research performance; and
- b) comprises a research output component and an evidence of research contribution component.

PBRF004: TEO to ensure staff eligibility and provide information in a certain way

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

During the period that the TEO receives funding from the PBRF, the TEO must:

- a) ensure that each staff member whose Evidence Portfolio is included in the Quality Evaluation process:
 - (i) is employed by the TEO on the staff census date under a contract of salaried employment with a duration of at least one year; and

- (ii) is employed at a minimum of 0.2 full time equivalent (FTE) during the period of the contract of salaried employment; and
 - (iii) has a substantive contribution to research and/or teaching degree-level programmes as part of his or her employment function, according to any applicable criteria established by the TEC; and
 - (iv) is not based overseas, according to any applicable criteria established by the TEC; and
- b) indicate when an Evidence Portfolio is being submitted in respect of an eligible staff member who is a new and emerging researcher; and
- c) if the TEO considers that an Evidence Portfolio is likely to be assigned a quality category of R or R(NE), not submit that Evidence Portfolio; and
- d) where applicable calculate completions of research-based postgraduate degrees in units of equivalent full time student (EFTS), where one (1.0) EFTS unit is the student workload that would normally be carried out by a student enrolled full-time in a single academic year; and
- e) where applicable report to the TEC the TEO's eligible external research income (ERI)¹, broken down into the following sources:
 - (i) New Zealand government contestable funds; and
 - (ii) New Zealand public sector contract research; and
 - (iii) New Zealand non-government sources; and
 - (iv) overseas research income.

PBRF005: TEO to provide information to support reporting of scores

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

During the period that the TEO receives funding from the PBRF, the TEO must provide any information required to support the reporting of average quality scores from the Quality Evaluation.

PBRF006: TEO to supply information to the TEC

This condition is imposed under section 159YC(1) of the Education Act 1989.

As specified in section 159YC(1) of the Education Act 1989, it is a condition of a TEO receiving funding that the TEO will supply to the TEC, from time to time as required by the TEC, and in the form specified by the TEC, any financial, statistical, or other information that the TEO is required by the TEC to supply.

PBRF007: TEO to use funding responsibly

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

The TEO must only use funding received from the PBRF:

- a) lawfully and responsibly; and

¹ External research income includes income from public and private sources for research conducted by an eligible TEO (and/or a wholly-owned subsidiary), and includes research income from competitive sources within Vote Tertiary Education (excluding PBRF).

- b) in a manner consistent with the appropriate use of public funds.

PBRF008: TEO to repay over-funding

This condition is imposed under section 159YC(2)(a) of the Education Act 1989.

If the TEO receives funding that is greater than it should have been, or that the TEO was not entitled to receive, the TEO must treat the amount of over-funding as a debt due to the Crown that:

- a) is repayable to the TEC on demand; and
- b) may be set-off against all or any funding, or any sum of money payable by the TEC to the TEO.