

Tertiary Education funding baselines – Budget 2009

28 May 2009

The following tables show tertiary education baseline funding as set at Budget 2009, over the period 2008/09 to 2012/13.

They include the three main tertiary education funds: the Student Achievement Component, the Tertiary Education Organisation Component (which includes the Performance-Based Research Fund) and the Industry Training Fund.

The information is split into six tertiary subsectors:

- Universities
- Institutes of Technology and Polytechnics
- Wananga
- Private Training Establishments
- Other Tertiary Education Providers
- Industry Training Organisations

The information is intended to show the overall change in baseline funding as a result of the Budget. The presentation of the information is consistent with the Estimates of Appropriations.

Further information will be published following the Budget by the Tertiary Education Commission, showing baseline funding by calendar year, as a basis for investment planning decisions.

Notes:

1. For the Performance-Based Research Fund and Encouraging and Supporting Innovation, the funding shown is the total for all eligible organisations, as no split between subsectors is made in advance of allocations being agreed.
2. All funding beyond 2009/10 is subject to future Budgets.
3. The Supporting Change element of the TEO Component comes to an end in 2009/10. Funding of \$35.556m per year, which was previously allocated through Supporting Change, remains in the tertiary education baseline in 2010/11 to 2012/13. This funding is not shown in these tables as further policy decisions are required to determine how it will be allocated from 2010/11 onwards.

Total Student Achievement Component and Tertiary Education Organisation Component

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
Baseline at Budget 2008	1,532,862	1,593,986	1,650,875	1,666,406	1,666,406
Technical changes since budget 2008	(5,532)	(750)	-	-	(774)
Policy Initiatives					
Amend the CPI Adjustments	-	(4,400)	(27,500)	(46,200)	(46,200)
Withdraw SAC funding for Regulatory Compliance Quals from 2011	-	-	(3,094)	(6,188)	(6,188)
Transfer of Tertiary Sector Underspends	(15,000)	15,000	-	-	-
Increasing Medical Training Places	-	932	2,769	4,707	6,745
Savings - International Education	-	(627)	(1,972)	(2,689)	(2,689)
Grand Total	1,512,330	1,604,141	1,621,078	1,616,036	1,617,300

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
TEI Base Investment					
Baseline at Budget 2008	285,332	301,220	311,478	313,924	313,924
Technical changes since budget 2008	18,356	14,422	14,711	15,000	14,774
Policy Initiatives					
Capital Funding Conversion for the ITP Sector	10,000	20,000	10,000	-	-
Adjust the CPI Adjustments	-	(700)	(4,700)	(8,000)	(8,000)
Withdraw SAC funding for Regulatory Compliance Qualifications from 2011	-	-	(906)	(1,812)	(1,812)
Increasing Medical Training Places	-	108	320	545	780
Savings - International Education	-	(73)	(228)	(311)	(311)
Savings - Reduce Universities' Tripartite Funding	-	-	(11,069)	(22,137)	(22,137)
Grand Total	313,688	334,977	319,606	297,209	297,218
Industry Training Organisation Core Component					
Baseline at Budget 2008	5,625	6,750	6,750	6,750	6,750
Policy Initiatives					
Reduce the ITO Strategic Leadership Fund from	-	-	(1,750)	(3,500)	(3,500)
Grand Total	5,625	6,750	5,000	3,250	3,250
Priorities for Focus					
Baseline at Budget 2008	32,002	31,551	31,548	27,548	27,548
Technical changes since budget 2008	(10,878)	(10,552)	(14,346)	(14,346)	(14,346)
Policy Initiatives					
Reduce the Growth in Funding for Literacy, Language, Numeracy Programmes from 2009/10	-	-	(2,000)	-	-
Transfer of Tertiary Sector Underspends	(5,464)	5,464	-	-	-
Savings - Reduce or Cease Small Funds	-	-	(851)	(1,702)	(1,702)
Remove Priorities for Focus Funding for Universities from 2011	-	-	(5,000)	(10,000)	(10,000)
Grand Total	15,660	26,463	9,351	1,500	1,500
Supporting Change					
Baseline at Budget 2008 ¹	38,495	36,931	595	-	-
Technical changes since budget 2008	7,761	1,878	289	-	-
Grand Total	46,256	38,809	884	-	-
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Universities

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	870,272	906,204	941,316	951,561	951,561
Technical changes since budget 2008	6,066	-	-	-	-
Policy Initiatives					
Amend the CPI Adjustments	-	(2,503)	(15,680)	(26,381)	(26,394)
Transfer of Tertiary Sector Underspends	(3,000)	3,000	-	-	-
Increasing Medical Training Places	-	932	2,769	4,707	6,745
Savings - International Education	-	(627)	(1,972)	(2,689)	(2,689)
Total Universities	873,338	907,006	926,433	927,198	929,223

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
TEI Base Investment					
Baseline at Budget 2008	116,420	122,894	127,789	129,705	129,705
Technical changes since budget 2008	18,332	15,000	15,000	15,000	15,000
Policy Initiatives					
Adjust the CPI Adjustments	-	(255)	(1,849)	(3,188)	(3,188)
Increasing Medical Training Places	-	108	320	545	780
Savings - International Education	-	(73)	(228)	(311)	(311)
Savings - Reduce Universities' Tripartite Funding	-	-	(11,069)	(22,137)	(22,137)
Total Universities	134,752	137,674	129,963	119,614	119,849
Priorities for Focus					
Baseline at Budget 2008	24,725	25,000	25,000	25,000	25,000
Technical changes since budget 2008	(14,672)	(14,346)	(14,346)	(14,346)	(14,346)
Policy Initiatives					
Transfer of Tertiary Sector Underspends	(1,358)	1,358	-	-	-
Savings - Reduce or Cease Small Funds	-	-	(327)	(654)	(654)
Remove Priorities for Focus Funding for Universities from 2011	-	-	(5,000)	(10,000)	(10,000)
Total Universities	8,695	12,012	5,327	-	-
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Institutes of Technology and Polytechnics (ITPs)

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	394,463	408,949	422,619	424,755	424,755
Technical changes since budget 2008	-	-	-	-	(774)
Policy Initiatives					
Amend the CPI Adjustments	-	(1,129)	(7,040)	(11,776)	(11,760)
Withdraw SAC funding for Regulatory Compliance Quals from 2011	-	-	(3,094)	(6,188)	(6,188)
Transfer of Tertiary Sector Underspends	(11,000)	11,000	-	-	-
Total ITPs	383,463	418,820	412,485	406,791	406,033

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
TEI Base Investment					
Baseline at Budget 2008	129,258	135,837	140,130	140,153	140,153
Technical changes since budget 2008	160	-	-	-	(226)
Policy Initiatives					
Capital Funding Conversion for the ITP Sector	10,000	20,000	10,000	-	-
Adjust the CPI Adjustments	-	(348)	(2,164)	(3,634)	(3,634)
Withdraw SAC funding for Regulatory Compliance Qualifications from 2011	-	-	(906)	(1,812)	(1,812)
Total ITPs	139,418	155,489	147,059	134,707	134,481
Priorities for Focus					
Baseline at Budget 2008	4,376	4,200	3,848	1,048	1,048
Policy Initiatives					
Reduce the Growth in Funding for Literacy, Language, Numeracy Programmes from 2009/10	-	-	(1,400)	-	-
Transfer of Tertiary Sector Underspends	(1,406)	1,406	-	-	-
Savings - Reduce or Cease Small Funds	-	-	(524)	(1,048)	(1,048)
Total ITPs	2,970	5,606	1,924	-	-
Supporting Change					
Baseline at Budget 2008 ¹	32,876	31,601	595	-	-
Technical changes since budget 2008	6,172	-	-	-	-
Total ITPs	39,048	31,601	595	-	-
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Wānanga

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	110,377	115,769	118,566	120,293	120,293
Technical changes since budget 2008	(9,348)	-	-	-	-
Policy Initiatives					
Amend the CPI Adjustments	-	(320)	(1,975)	(3,335)	(3,337)
Withdraw SAC funding for Regulatory Compliance Qualls from 2011	-	-	-	-	-
Transfer of Tertiary Sector Underspends	(500)	500	-	-	-
Increasing Medical Training Places	-	-	-	-	-
Savings - International Education	-	-	-	-	-
Total Wānanga	100,529	115,949	116,591	116,958	116,956

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
TEI Base Investment					
Baseline at Budget 2008	39,653	42,489	43,559	44,066	44,066
Technical changes since budget 2008	(136)	(578)	(289)	-	-
Policy Initiatives					
Capital Funding Conversion for the ITP Sector	-	-	-	-	-
Adjust the CPI Adjustments	-	(97)	(686)	(1,179)	(1,179)
Withdraw SAC funding for Regulatory Compliance Qualifications from 2011	-	-	-	-	-
Increasing Medical Training Places	-	-	-	-	-
Savings - International Education	-	-	-	-	-
Savings - Reduce Universities' Tripartite Funding	-	-	-	-	-
Total Wānanga	39,517	41,814	42,584	42,888	42,888
Priorities for Focus					
Baseline at Budget 2008	2,901	2,351	2,700	1,500	1,500
Technical changes since budget 2008	3,794	3,794	-	-	-
Policy Initiatives					
Reduce the Growth in Funding for Literacy, Language, Numeracy Programmes from 2009/10	-	-	(600)	-	-
Transfer of Tertiary Sector Underspends	(2,700)	2,700	-	-	-
Savings - Reduce or Cease Small Funds	-	-	-	-	-
Remove Priorities for Focus Funding for Universities from 2011	-	-	-	-	-
Total Wānanga	3,995	8,845	2,100	1,500	1,500
Supporting Change					
Baseline at Budget 2008 ¹	5,619	5,330	-	-	-
Technical changes since budget 2008	1,589	1,878	289	-	-
Total Wānanga	7,208	7,208	289	-	-
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09 Budget	2009/10 Budget	2010/11 Estimated	2011/12 Estimated	2012/13 Estimated
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Private Training Establishments (PTEs)

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	133,996	137,412	142,112	144,414	144,414
Policy Initiatives					
Amend the CPI Adjustments	-	(379)	(2,368)	(4,004)	(4,005)
Total Private Training Establishments	133,996	137,033	139,744	140,410	140,409

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Other Tertiary Education Providers (OTEPs)

Tertiary Education: Student Achievement Component (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	23,754	25,652	26,262	25,383	25,383
Technical changes since budget 2008	(2,250)	(750)	-	-	-
Policy Initiatives					
Amend the CPI Adjustments	-	(69)	(437)	(704)	(704)
Transfer of Tertiary Sector Underspends	(500)	500	-	-	-
Total OTEPs	21,004	25,333	25,825	24,679	24,679

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711

Tertiary Education Organisation Component - Performance Based Research Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	236,114	242,532	249,817	249,817	249,817
Policy Initiatives					
Amend the CPI Adjustments	-	(700)	(4,100)	(6,900)	(6,900)
Increasing the Performance Based Research Fund	-	27	4,283	7,083	7,083
TOTAL - ALL Sub-Sectors	236,114	241,859	250,000	250,000	250,000

Industry Training Organisations

Industry Training Fund and Literacy and Numeracy in the Workplace (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Baseline at Budget 2008	165,884	179,495	187,775	189,887	189,887
Policy Initiatives					
Amend the CPI Adjustments	-	(2,100)	(6,300)	(8,200)	(8,200)
Reduce Growth for Literacy, Language and Numeracy Programmes from 2009/10	-	-	(1,600)	(1,600)	(2,600)
TOTAL - ALL Sub-Sectors	165,884	177,395	179,875	180,087	179,087

Tertiary Education Organisation Component - Capability Fund (M68)

\$000	2008/09	2009/10	2010/11	2011/12	2012/13
	Budget	Budget	Estimated	Estimated	Estimated
	\$000	\$000	\$000	\$000	\$000
Industry Training Organisation Core Component					
Baseline at Budget 2008	5,625	6,750	6,750	6,750	6,750
Policy Initiatives					
Reduce the ITO Strategic Leadership Fund from	-	-	(1,750)	(3,500)	(3,500)
Total Industry Training Organisations	5,625	6,750	5,000	3,250	3,250
Encouraging and Supporting Innovation					
Baseline at Budget 2008	16,711	16,711	16,711	16,711	16,711
Policy Initiatives					
Halve the ESI fund from 2010	-	(4,000)	(8,000)	(8,000)	(8,000)
Total All Sub-sectors	16,711	12,711	8,711	8,711	8,711